



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents  
Alexandria, VA 22313-1450"

on

11/6/07  
GERARD J. MCGOWAN, JR.  
Reg. No. 29,412  
Attorney for Applicant(s)

11/6/07  
Date of  
Signature

**PATENT**

#04-0023-UNI

Case #F3347(C)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Birkett et al.

Serial No.: 10/583,231

Filed: May 17, 2007

For: CONFECTIONERY PRODUCT

Englewood Cliffs, New Jersey 07632  
October 5, 2007

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following error:

Please amend the first applicants' information to read as follows:

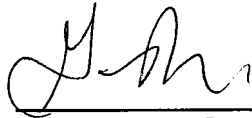
--CAMBRIDGE, UNITED KINGDOM--.

Support for this change can be found in the attached copy of the executed declaration.

In the event there is a fee for this error, please charge our Deposit Account 12-1155.

Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,



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Gerard J. McGowan, Jr.

Reg. No. 29,412

Attorney for Applicant(s)

GJM/mt  
(201) 894-2297



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/583,231	05/17/2007	1751	1030	F3347(C)	20	1

CONFIRMATION NO. 8228

201  
 UNILEVER INTELLECTUAL PROPERTY GROUP  
 700 SYLVAN AVENUE,  
 BLDG C2 SOUTH  
 ENGLEWOOD CLIFFS, NJ 07632-3100

## FILING RECEIPT



\*OC000000025595263\*

MPA

Date Mailed: 08/31/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Neil Richard Birkett, Cambridge, ~~GERMANY~~; *United Kingdom*  
 Andrew Richard Cox, Sharnbrook, UNITED KINGDOM;  
 Robert Daniel Keenan, Sharnbrook, UNITED KINGDOM;  
 Karen Margaret Watts, Sharnbrook, UNITED KINGDOM;

**Power of Attorney:** The patent practitioners associated with Customer Number 00201.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/13870 12/06/2004

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03257994.8 12/18/2003

SEP 4 2007  
 Received  
 Unilever Patent Dept.  
 Englewood Cliffs, NJ

If Required, Foreign Filing License Granted: 08/28/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/583,231**

Projected Publication Date: 12/06/2007

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Confectionery Product

**Preliminary Class**

510

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

F3347(C)

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CONFECTIONERY PRODUCT**

the specification of which (check only one item below):

☐

is attached hereto.

☐was filed as United States application Serial No on  
and was amended on (if applicable)☒was filed as PCT International application PCT/EP2004/013870 on 06 Dec 2004  
and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119
Europe	03257994.8	18 December 2003 (18.12.03)	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that /those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 (a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.**

U.S. APPLICATION(S)		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S Filing Date	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NUMBER	PCT Filing Date	U.S Serial Numbers Assigned (if any)		
PCT/EP2004/013870	06 Dec 2004			

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)**  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER  
F3347(C)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER: 000201

Direct all correspondence to: CUSTOMER NUMBER 000201

**201**

Full Name of Inventor	Family Name BIRKETT	First Given Name Neil	Second Given Name Richard
Residence & Citizenship	City Cambridge	State or Foreign Country United Kingdom	Country of Citizenship United Kingdom
Post Office Address	Post Office Address 43 Belvoir Road	City Cambridge, Cambridgeshire CB4 1JH	State & Zip Code/Country United Kingdom

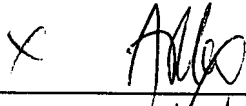


**202**

Full Name of Inventor	Family Name COX	First Given Name Andrew	Second Given Name Richard
Residence & Citizenship	City Sharnbrook	State or Foreign Country United Kingdom	Country of Citizenship United Kingdom
Post Office Address	Post Office Address Unilever R&D Colworth	City Sharnbrook, Bedfordshire MK44 1LQ	State & Zip Code/Country United Kingdom

**203**

Full Name of Inventor	Family Name KEENAN	First Given Name Robert	Second Given Name Daniel
Residence & Citizenship	City Sharnbrook	State or Foreign Country United Kingdom	Country of Citizenship United Kingdom
Post Office Address	Post Office Address Unilever R&D Colworth	City Sharnbrook, Bedfordshire MK44 1LQ	State & Zip Code/Country United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 201	Signature of Inventor 202 	Signature of Inventor 203 
Date	Date  12/06/06	Date 2-6-06

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER  
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204

Full Name of Inventor	Family Name WATTS	First Given Name Karen	Second Given Name Margaret
Residence & Citizenship	City Sharnbrook	State or Foreign Country United Kingdom	Country of Citizenship United Kingdom
Post Office Address	Post Office Address Unilever R&D Colworth	City Sharnbrook, Bedfordshire MK44 1LQ	State & Zip Code/Country United Kingdom

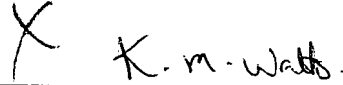

205

Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

206

Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

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Signature of Inventor 204 	Signature of Inventor 205	Signature of Inventor 206
Date  12/06/06	Date	Date



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**CONFECTIONERY PRODUCT**

the specification of which (check only one item below):

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is attached hereto.

☐was filed as United States application Serial No on  
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COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119
Europe	03257994.8	18 December 2003 (18.12.03)	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that /those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 (a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.**

U.S. APPLICATION(S)		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. Filing Date	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NUMBER	PCT Filing Date	U.S. Serial Numbers Assigned (if any)		
PCT/EP2004/013870	06 Dec 2004			

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)**  
(includes Reference to PCT International Applications)

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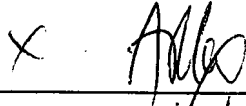


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Date	Date  12/06/06	Date 2-6-06

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)  
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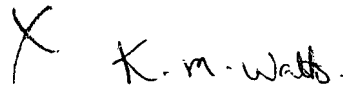

205

Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

206

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Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
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Signature of Inventor 204 	Signature of Inventor 205	Signature of Inventor 206
Date  12/06/06	Date	Date

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**CONFECTIONERY PRODUCT**

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☐ was filed as United States application Serial No on and was amended on (if applicable)
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U.S. APPLICATION(S)		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S Filing Date	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
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PCT/EP2004/013870	06 Dec 2004			

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)**  
(includes Reference to PCT International Applications)

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Full Name of Inventor	Family Name	First Given Name	Second Given Name
	BIRKETT	Neil	Richard
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Cambridge	United Kingdom	United Kingdom
Post Office Address	Post Office Address	City	State & Zip Code/Country
	43 Belvoir Road	Cambridge, Cambridgeshire CB4 1JH	United Kingdom

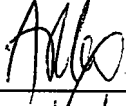

**202**

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	COX	Andrew	Richard
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Sharnbrook	United Kingdom	United Kingdom
Post Office Address	Post Office Address	City	State & Zip Code/Country
	Unilever R&D Colworth	Sharnbrook, Bedfordshire MK44 1LQ	United Kingdom

**203**

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	KEENAN	Robert	Daniel
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Sharnbrook	United Kingdom	United Kingdom
Post Office Address	Post Office Address	City	State & Zip Code/Country
	Unilever R&D Colworth	Sharnbrook, Bedfordshire MK44 1LQ	United Kingdom

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Signature of Inventor 201	Signature of Inventor 202	Signature of Inventor 203
	X 	
Date	Date	Date
	7 12/06/06	2-6-06

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)  
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Full Name of Inventor	Family Name WATTS	First Given Name Karen	Second Given Name Margaret
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 204 X K. M. Watts.	Signature of Inventor 205	Signature of Inventor 206
Date X 12/06/06.	Date	Date